

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Arius Two, Inc.Application No./Patent No.: 09/069,703Filed/Issue Date: April 29, 1998Entitled: PHARMACEUTICAL CARRIER DEVICE SUITABLE FOR DELIVERY OF PHARMACEUTICAL COMPOUNDS TO MUCOSAL SURFACES

Arius Two, Inc., a Corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Gilles H. Tapolsky and David W. Osborne To: Vivotex Corporation
 The document was recorded in the United States Patent and Trademark Office at
 Reel 009260, Frame 0983, or for which a copy thereof is attached.
2. From: Vivotex Corporation To: Atrix Laboratories, Inc.
 The document was recorded in the United States Patent and Trademark Office at
 Reel 015971, Frame 0299, or for which a copy thereof is attached.
3. From: Atrix Laboratories, Inc. To: QLT USA, Inc.
 The document was recorded in the United States Patent and Trademark Office at
 Reel 018883, Frame 0139, or for which a copy thereof is attached.
4. From: QLT USA, Inc. To: Arius Two, Inc.
 The document was recorded in the United States Patent and Trademark Office at
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO.]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
 Signature
Mark Sirgo
 Printed or Typed Name
President & CEO
 Title

JUNE 27, 2008
 Date
919-582-9050
 Telephone Number

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300 at MS Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 30, 2008 Signature: [Signature] (Danielle L. Herritt)